

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☒ Organization/Lobbying Firm ☐ Self Employed Individual

THE GOLDMAN SACHS GROUP, INC.

2. Address ☐ Check if different than previously reported

Address1 **101 CONSTITUTION AVE., NW** Address2 **SUITE 1000 EAST**
City **WASHINGTON** State **DC** Zip Code **20001** - Country **USA**

3. Principal place of business (if different than line 2)

City _____ State _____ Zip Code _____ - Country _____

4a. Contact Name

b. Telephone Number c. E-mail

5. Senate ID#

FARYAR SHIRZAD

☐ International Number

(202) 637-3700 faryar.shirzad@gs.com

313976-12

7. Client Name ☒ Self ☐ Check if client is a state or local government or instrumentality

6. House ID#

THE GOLDMAN SACHS GROUP, INC.

391860000

TYPE OF REPORT 8. Year **2009** Q1 (1/1 - 3/31) ☐ Q2 (4/1 - 6/30) ☒ Q3 (7/1-9/30) ☐ Q4 (10/1 - 12/31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____ 11. No Lobbying Issue Activity ☐

INCOME OR EXPENSES - YOU MUST complete either Line 12 or Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$5,000 ☐

\$5,000 or more ☐ \$ _____

Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this reporting period were:

Less than \$5,000 ☐

\$5,000 or more ☒ \$ **\$ 630,000.00**

14. REPORTING Check box to indicate expense accounting method. See instructions for description of options.

- ☒ **Method A.** Reporting amounts using LDA definitions only
- ☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
- ☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Filed Electronically Date 07/20/2009

Printed Name and Title Faryar Shirzad, Managing Director

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

15. General issue area code

AUT

AUTOMOTIVE INDUSTRY

(one per page)

16. Specific lobbying issues

General issues related to automotive industry.

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Ken	Connolly		S.A. Dir. Off. Env. Pol; LD, S. Jeffords; SD, CEPW	<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Printed Name and Title

Faryar Shirzad, Managing Director

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15. General issue area code

CDT

COMMODITIES (BIG TICKET)

(one per page)

16. Specific lobbying issues

General issues related to commodities; H.R. 2454, American Clean Energy and Security Act of 2009.

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Ken	Connolly		S.A. Dir. Off. Env. Pol; LD, S. Jeffords; SD, CEPW	<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Printed Name and Title

Faryar Shirzad, Managing Director

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15. General issue area code

ENG

ENERGY/NUCLEAR

(one per page)

16. Specific lobbying issues

H.R. 2454, American Clean Energy and Security Act of 2009.

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Ken	Connolly		S.A. Dir. Off. Env. Pol.; LD, S. Jeffords; SD, CEPW	<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

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15. General issue area code

FIN

FINANCIAL INSTITUTIONS/INVESTMENTS/SECURITIES

(one per page)

16. Specific lobbying issues

Issues related to derivatives regulation; issues related to regulatory reform; P.L. 111-5, American Recovery and Reinvestment Act of 2009, Division B, Title I; P.L. 110-49, Foreign Investment and National Security Act of 2007; issues relating to federal government's assistance to financial institutions and issues relating to Troubled Assets Relief Program.

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES, U.S. SENATE, Federal Reserve System, Securities & Exchange Commission (SEC)

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Ken	Connolly		S.A. Dir. Off. Env. Pol.; LD, S. Jeffords; SD, CEPW	<input type="checkbox"/>
Todd	Malan		Cong. Aff. Rep., Office of the U.S.T.R.	<input type="checkbox"/>
Michael	Paese		Dep. Maj. Staff Dir., HFSC; Sr. Min. Couns., HFSC	<input checked="" type="checkbox"/>
Faryar	Shirzad			<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>
				<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

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15. General issue area code

TRA

TRANSPORTATION

(one per page)

16. Specific lobbying issues

General issues related to transportation.

17. House(s) of Congress and Federal agencies

☐ Check if None

U.S. HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Ken	Connolly		S.A. Dir. Off. Env. Pol; LD, S. Jeffords; SD, CEPW	<input type="checkbox"/>
				<input type="checkbox"/>
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